



SEP/REF  
Room # 307  
25

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

U.S. Patent Application No. 09/582,377

of KIM

Group Art Unit: Unknown

Filed: Sep 18, 2000

Examiner: Unknown

Title: PHARMACEUTICAL COMPOSITION HAVING ANTITUMOR ACTIVITY AND  
PROCESS FOR THE PREPARATION THEREOF

\* \* \* \*

October

FEE VALUE ACCOUNTABILITY	
DEPOSIT ACCOUNT NO.	
FEE CODE	VALUE FURNISHED
2001	

REQUEST FOR REFUND

Hon. Commissioner of Patents  
Washington, DC 20231

Sir:

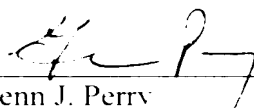
Applicant respectfully requests a refund of charges made against Deposit Account 03-3975, control number 71404/271427 on August 29, 2000 (see copy of PTO statement attached). The \$260.00 (large entity) fee for multiple dependent claim and the \$78.00 (large entity) fee for excess independent claims were incorrectly assessed since these fees were paid by Applicant's representative, Pillsbury Madison Sutro (now Pillsbury Winthrop) on September 18, 2000 when Completion of Filing was filed (copy of docketing sheet, transmittal form and canceled check attached). Applicant is a small entity, and as such the correct fees of \$39 and \$130 were paid.

Applicant respectfully requests that the \$348.00 overcharge be credited to deposit account 03-3975, control number 71404/271427.

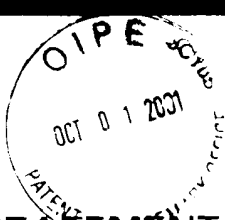
Thank you for your attention to this request. If there are any questions, please contact the undersigned at the telephone number listed.

Respectfully submitted,

PILLSBURY WINTHROP LLP

By:   
Glenn J. Perry  
Registration No. 28,458  
Tel. No. 703.905.2000  
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GJP:mll  
1600 Tysons Boulevard  
McLean, Virginia 22102



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

MONTHLY STATEMENT  
OF DEPOSIT ACCOUNT

To replenish your Deposit Account, detach and  
return top portion with your check. Make check  
payable to Commissioner of Patents & Trademarks.

Account No.	033975
Date	8-31-00
Page	6

PILLSBURY MADISON & SUTRO LLP

FINA

NINTH FLOOR EAST TOWER  
1100 NEW YORK AVENUE, N.W.  
WASHINGTON DC 20005-3918

PLEASE SEND REMITTANCES TO:  
Patent and Trademark Office  
P.O. Box 70541  
Chicago, Ill. 60673

DATE POSTED			CONTROL NO.	DESCRIPTION (Serial, Patent, TM, Order)	DOCKET NO	FEE CODE	CHARGES/ CREDITS	BALANCE
MO.	DAY	YR.						
8	25	00	73	78022108	10896/263166	361	325.00	32727.00
8	25	00	182	09481738	09703/261851	581	40.00	32687.00
8	25	00	202	08768622	11347/226506	561	30.00	32657.00
8	28	00	1	09299920	21123/PM260427	126	-240.00	32897.00
8	28	00	36	09422231	70662/264885	567	210.00	32687.00
8	28	00	38	76071760	73169-027003	467	210.00	32477.00
8	28	00	124	60150353	20826/237887	567	180.00	32297.00
8	28	00	125	60148692	50178/259051	567	30.00	32267.00
8	29	00	1	78022295	10896/263168	361	325.00	31942.00
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8	30	00	20	09576370	20076/PM260726	205	65.00	31180.00
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8	30	00	62	08793950	41301/236554	964	80.00	29949.00
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8	30	00	84	09645565	81823/268667	704	-72.00	29761.00
8	30	00	142	78022413	61148/263129	361	325.00	29436.00
8	30	00	187	78022617	10436/263134	361	325.00	29111.00
8	30	00	188	78022620	10436/263111	361	325.00	28786.00
8	30	00	190	78022623	10436/263105	361	325.00	28461.00
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8	31	00	87	60195648	13353	227	25.00	27933.00
8	31	00	88	60195648	13353	123	50.00	27883.00

AN AMOUNT SUFFICIENT TO  
COVER ALL SERVICES REQUESTED  
MUST ALWAYS BE ON DEPOSIT.

OPENING BALANCE  
9425.65

TOTAL CHARGES  
46324.00

TOTAL CREDITS  
64781.35

CLOSING BALANCE  
27883.00

[GENINQ]  
[inusp4 ]

OCT 01 2001

Pillsbury Winthrop LLP

\*\*\*\*\* Search by Matter # / Serial # / Patent # \*\*\*\*\*  
Matter #: 271427                      Serial #: 582877                      Patent #: 0

Filing Receipt Received: \*\*/\*\*/\*\*

	<u>Event Date</u>	<u>Event/Resp Type</u>	<u>Amount</u>	<u>Check No.</u>
1.	06/30/00	NEW APPLICATION	0	0
2.	06/30/00	FILING FEE	970.00	126099
3.	06/30/00	INF DISCLOSURE	0	0
4.	06/30/00	ENGLISH SPEC'N	0	0
5.	09/18/00	DEC/SPEC&OR/FEE	0	0
6.	09/18/00	DECLARATION	65.00	127917
7.	09/18/00	CLAIMS AND FEE	130.00	127917
8.	09/18/00	CLAIMS AND FEE	39.00	127917
9.	09/18/00	SMALL ENTITY	0	0
10.	04/26/01	REQ FILNG RCPT	0	0

[M]ore, [O]rd, [N]x/[P]v, [F]wd/[B]k, [Q]uit:  
total: 10                      current: 1

OCT 0 1 2001

Page 1 of 2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
**COMPLETION OF FILING NATIONAL PHASE OF PCT APPLICATION**  
UNDER RULE 35 USC 371 AND 37 CFR 1.494(C) OR 1.495(C)

**BOX PCT**

**COMPLETION**  
**For PCT Cases Only**

Attn: Application Division

re PATENT APPLICATION of

inventor(s): KIM, Song-Bae

Appln. No.:

09

582,877

Atty. Dkt.

PM

271427

00-PSBUS-574

M#

Client Ref

Series Code ↑

Serial No. ↑

National Phase Field

Based on PCT

KR99

00659

↑ Country Code &amp; Year

Title: PHARMACEUTICAL COMPOSITION. . . PREPARATION  
THEREOF

Date: September 18, 2000

**FILING OF ITEM(S) LATE IN PCT/USA NATIONAL CASE**

Asst. Commissioner of Patents  
 Washington, DC 20231

Sir:

The following completes the filing of the subject application under Rule 494(c)/495(c). Please accept the following attached items:

1. Missing Requirements Notice (PCT/DO/EO/905) ☒ copy attached ☐ not yet received
2. ☒ **Signed Declaration** ☐ Original ☒ Facsimile/Copy ☐ with spec/claims attached
3. ☐ **Translation** of the International Application into English including:
  - a. ☐ Request;
  - b. ☐ Abstract
  - c. ☐ pgs. Spec. and Claims;
  - d. ☐ Translation verification
  - e. ☐ sheets Drawing which are: ☐ informal ☐ formal of size ☐ A4 ☐ 11"
4. ☐ Copy of **International Search Report** (ISR) attached (\_\_\_ page(s))
  - a. ☐ plus Annex of family members (\_\_\_ page(s))
5. **Information Disclosure Statement** including
  - a. ☐ From PTO-1449 listing documents
  - b. ☐ Copies of document(s) listed on Form PTO-1449
  - c. ☐ A concise explanation of ISR references is given in the ISR
6. ☐ **Assignment** and cover sheet. Please return the recorded assignment to the undersigned.
7. ☐ Copy of Power to international application agent.
8. 1 (No.) Small Entity Statement(s) establishing "small entity" status under Rules 9 & 27.
9. ☐ Formal Drawings: \_\_\_ sheet(s) ☐ informal; ☐ formal of size: ☐ A4 ☐ 11"
10. ☒ Please immediately start national examination procedures (35 USC 371(f))



11. ☐ Attached:
12. ☐ Preliminary Amendment:
13. ☒ Basic U.S. National fee per Rule 492(a)(1)-(4) was previously timely filed.:
14. **Calculation of remaining fees due (if any):** based on amended claim(s) per above item  
☒ 12 (above) or item(s) in PAT-112 (filed previously) ☐ 12 ☐ 14 ☐ 17 ☐ 25
15. **CLAIMS FEES** ☐ previously paid ☐ paid herewith as follows:
- 15A. Small Entity Statement ☒ Herewith ☐ Previously Filed

				Large/Small Entity		Fee Code
16. Total Effective Claims	12	minus 20 =	0	x \$18/\$9	+0	966/967
17. Independent Claims	4	minus 3 =	1	x \$78/\$39	+39	964/965
18. If any proper multiple dependent claim (ignore improper) is present,				\$260/\$130	+130	968/969
19. Filing Declaration late, fee paid <input type="checkbox"/> previously <input checked="" type="checkbox"/> now				\$130/\$65	+65	154/254
<b>SUBTOTAL</b>					<b>\$234</b>	
20.						
21. <b>Original due date:</b> SEPTEMBER 24, 2000						
22. <b>Petition is hereby made</b> to extend the <b>original</b> due date to				(1 mo)	\$110/\$55 =	+0
cover the date this response is filed for which the requisite fee				(2mos)	\$380/\$190 =	115/215
is attached				(3mos)	\$870/\$435 =	116/216
				(4mos)	\$1360/\$680 =	117/217
23. If "non-English" box 3 is X'd, add Rule 17(k) processing fee				\$130	+0	139
24. If "assignment" box 6 is X'd, add recording fee				\$40	+0	581
<b>TOTAL FEE ENCLOSED =</b>					<b>\$234</b>	

(Our Deposit Account No. 03-3975)

(Our Order No. 71404 | 271427  
C# M#

**CHARGE STATEMENT:** The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (missing or insufficient fee only) now or hereafter relative to this application and the resulting Official document under Rule 20, or credit any overpayment, to our Account/Order Nos. shown above for which purpose a duplicate copy of this sheet is attached.  
This **CHARGE STATEMENT** does not authorize charge of the issue fee until/unless an issue fee transmittal form is filed.

**Pillsbury Madison & Sutro LLP**  
**Intellectual Property Group**

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Ninth Floor  
Washington, DC 20005-3918  
Tel: (202) 861-3000  
KHC/amp

By Atty: Kendrew H. Colton

Sig: [Signature]

Reg. No. 30368

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**NOTE:** File in duplicate with PTO receipt (PAT-103A) and attachments